



A Review of Real-time Railway and Metro Rescheduling Models using Learning Algorithms

Matej Jusup

Alessio Trivella

Francesco Corman

A Review of Real-time Railway and Metro Rescheduling Models using Learning Algorithms

- DADA project team
- Problem description
- Relevance
- RL components in rescheduling
- RL vs traditional rescheduling models
- Literature overview along the years
- Learning algorithms used for train rescheduling
- Flatland challenge
- Conclusions and future research

DADA project team



Prof. Francesco Corman¹



Thomas Spanninger



Dr. Ping Huang



Dr. Alessio Trivella¹



Matej Jusup¹

Delay prediction

Rescheduling under uncertainty

DADA project – Dynamic data driven approaches for stochastic delay propagation avoidance

Sponsor:  **FNSNF**
SWISS NATIONAL SCIENCE FOUNDATION

Personal background

- BSc in Mathematics
- MSc in Mathematical Statistics
 - Master thesis: *“Network Optimization in Railway Transport Planning”*
- Various roles in an investment bank
 - 1 year in a technology team
 - 1.5 years in a quantitative research team
- Data scientist in a start-up
 - 1.5 years as a team leader
- **Currently a PhD student in Transport Systems group**
 - Supervisor: Prof. Dr. Francesco Corman



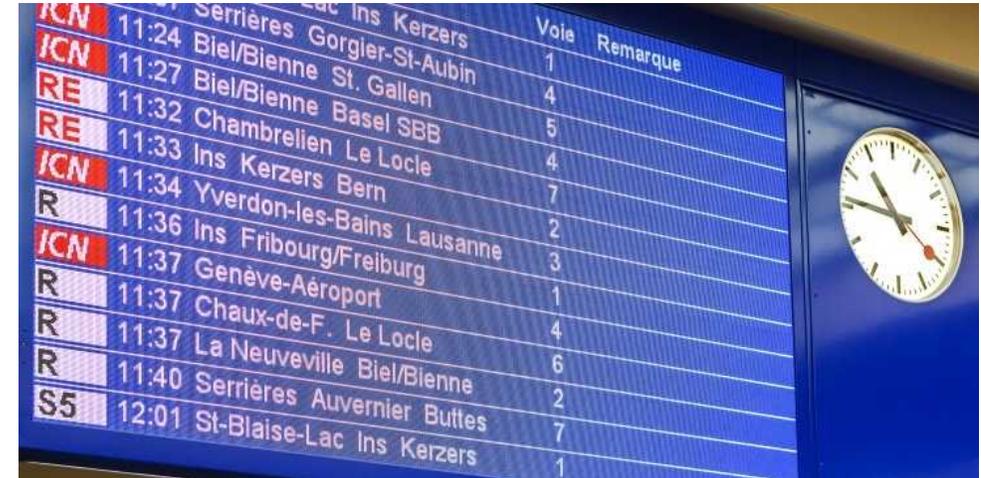
Morgan Stanley



ETH zürich

Problem description

- Railway and metro networks operate according to predefined schedules
- Real-life operations are subject to uncertainty in e.g. train running time and dwelling time and/or passenger demand causing conflicts in the schedule
- Goal of rescheduling is to compute an updated conflict-free schedule while minimizing deviations from the original schedule



			Voie	Remarque
ICN	11:24	Serrières Gorgier-Ins Kerzers	1	
ICN	11:27	Biel/Bienne St-Aubin	4	
RE	11:32	Chambrelieu Basel SBB	5	
RE	11:33	Ins Kerzers Le Locle	4	
ICN	11:34	Yverdon-les-Bains Bern	7	
R	11:36	Ins Fribourg/Freiburg Lausanne	2	
ICN	11:37	Genève-Aéroport	3	
R	11:37	Chaux-de-F. Le Locle	4	
R	11:37	La Neuveville Biel/Bienne	6	
R	11:40	Serrières Auvier Buttes	2	
S5	12:01	St-Blaise-Lac Ins Kerzers	7	



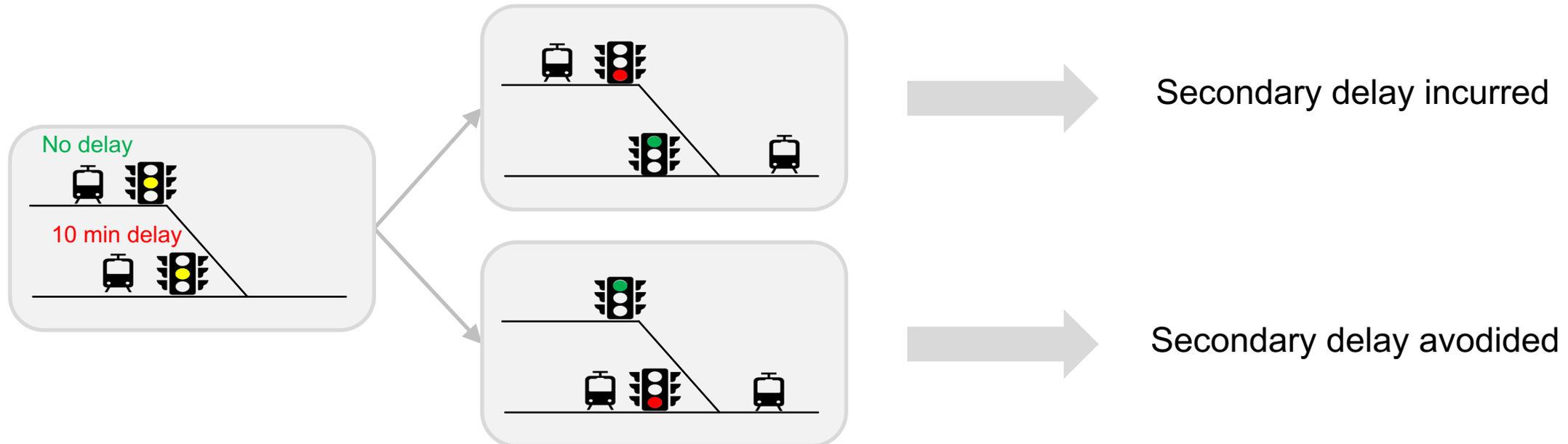
Relevance of the problem

Delays

- Disturbances often occur during real-life operations
- Primary delays cannot be reduced
- Secondary delays result from delay propagation
 - We can reduce or prevent them by rescheduling actions

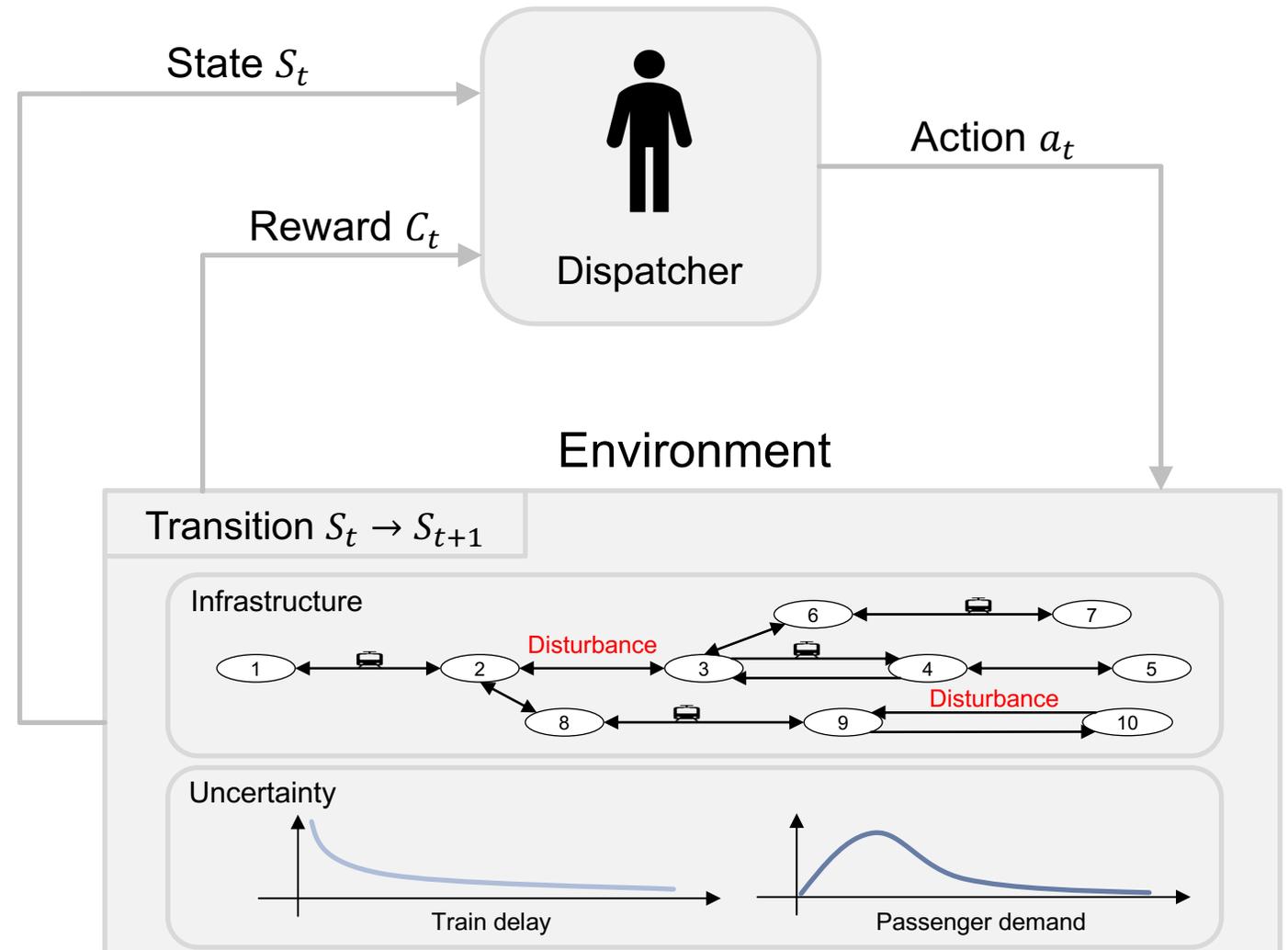
Good rescheduling actions can:

- Minimize secondary delays
- Improve user experience
- Increase infrastructure utilization
- Reduce energy consumption



Reinforcement learning components in rescheduling

- 1. Agent** – dispatcher observes the environment and executes actions
- 2. Environment** – infrastructure and uncertainty
- 3. State space** – environment's representation available to the agent, e.g. train location and speed, number of in-vehicle passengers, passenger demand, section availability
- 4. Action space** – includes e.g. adjusting train departure, running and/or dwelling time, modifying signal shown, changing train speed, rerouting trains
- 5. Reward/cost function** – commonly a function of train delay, train running time, passenger delay, and/or energy utilization



State space, actions and reward function modelling options

State space might include:

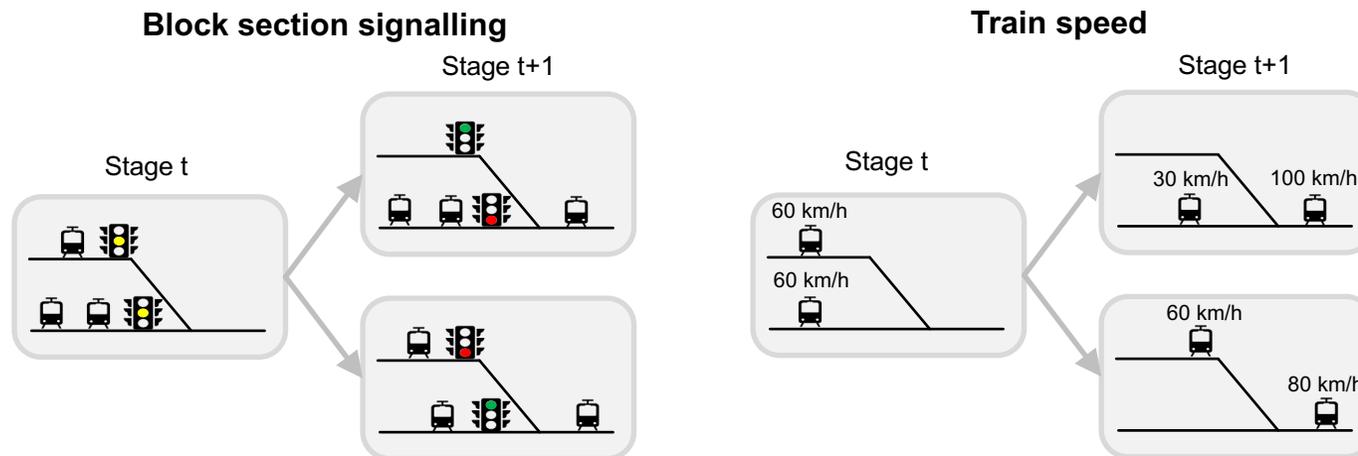
- Train location
- Number of in-vehicle passengers
- Block section availability
- Disturbance time
- Disturbance duration
- Arrival time
- Dwelling time
- Train speed
- Train direction

Actions might be:

- Station-level
 - Varying dwelling time
 - Varying departure time
 - Adjusting running time
- Block-section-level
 - Modifying signalling
- Train-level
 - Adjusting speed

Reward/cost could be a function of:

- Train/passenger delay
- Train running time
- Passenger travelling time
- Energy utilization



Reinforcement learning vs traditional rescheduling models

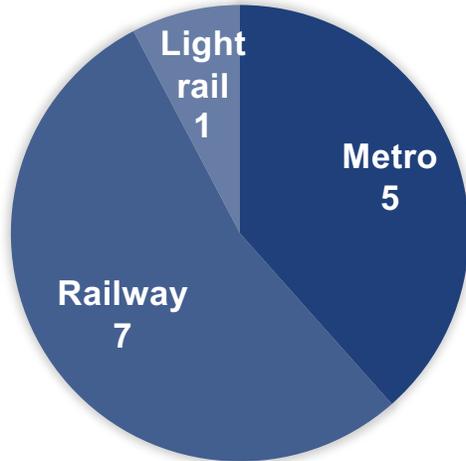
- Traditionally, rescheduling has been tackled using rolling horizon techniques, stochastic optimization or MILP-based models

Advantages of using learning models

Advantages	Limitations
Learning decision policy offline	No guarantee of optimality bounds
Adaptiveness/online learning	Not easy to impose constraints
Instantaneous high-quality decisions	High computational resources for training
Potential of implementing transfer learning	

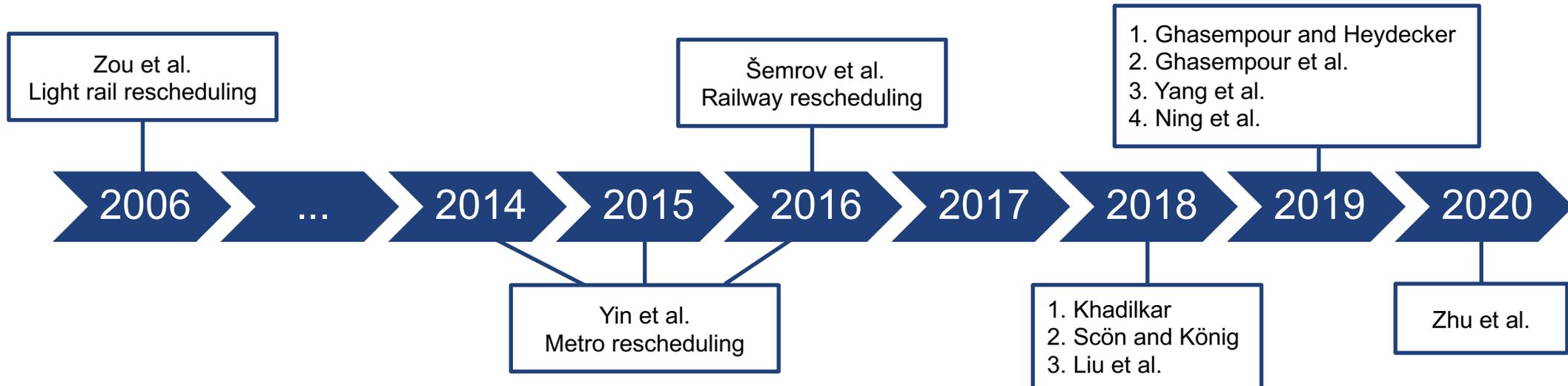
Literature overview along the years

OF PUBLICATIONS



Findings and takeaways:

- Increase of the research interest on the topic
- Recent experimentation of learning algorithms in rescheduling has shown promising results on simplified instances

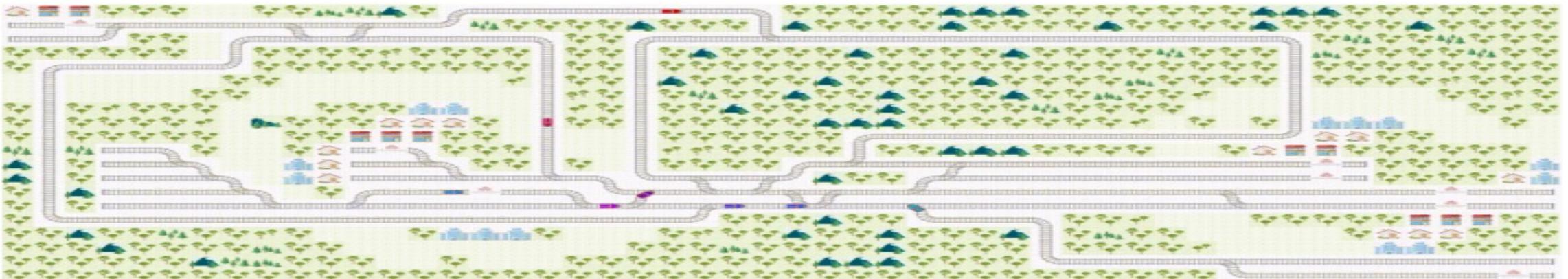


Learning algorithms used for train rescheduling

SARSA	Q-learning	Deep deterministic policy gradient
<ul style="list-style-type: none">➤ Beneficial when we care about the agent's performance during the training process—e.g. we don't want to cause train accidents or deadlocks	<ul style="list-style-type: none">➤ Preferable in situations where good training time performance is not necessary—e.g. we have weeks to train a model in the simulated environment➤ Better option for railway rescheduling	<ul style="list-style-type: none">➤ Works with continuous actions—e.g. we might control the speed very precisely➤ Hard to imagine real-life use-cases where we need such a precision

Flatland challenge

- Solving train rescheduling problem
 - One of NeurIPS 2020 challenges*
- Open-source Python package for easy environment construction**
 - Developed and maintained by SBB and Alcrowd
- Potential to become the community-wide benchmark
- Traditional operations research methods dominated the leaderboard
 - Focusing on RL approaches might change that dynamic



Conclusions and future research

Conclusions

- Further improvements of the existing RL models are needed
- Hard to implement community-wide benchmark due problem's representation high dependance on the infrastructure type
- **Scaling up models from lines/junctions to networks is still an open challenge**

Future research

- Expanding methodological scope by applying different classes of learning algorithms (e.g. deep Q-learning, graph neural networks)
- Exploiting larger computational power
- Work on a community-wide benchmark might be beneficial (e.g. Flatland)
- Transfer learning might have a potential to tackle some of the challenges

Thank you!